

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

#4

Applicant(s): Josef BELLER

Serial No.: 10/500,201

For: OPTICAL MEASURING SIGNAL WITH  
BROADENED SPECTRAL DENSITY

International Filing Date: 16 January 2002

U.S. Filing Date: 28 June 2004

Examiner: To be Assigned

Art Unit: To be Assigned

Confirmation No.: 9182

Customer No.: 27,623

Attorney Docket No.: 20 01 0496

MAIL STOP PCT  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450  
ATTENTION: DO/EO/US

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the  
above-identified application:

XXX Declaration and Power of Attorney form executed by Josef BELLER;

\_\_\_\_\_ The undersigned attorney has verified that the applicant is entitled  
to a Small Entity Status;

\_\_\_\_\_ \_\_\_\_\_ Sheets of Formal Drawings;

XXX An Assignment of the Invention to: AGILENT TECHNOLOGIES, INC.  
including \$40.00 recordation fee and Assignment Recordation  
Cover Sheet;

\_\_\_\_\_ A Certified Copy of the Priority Application;

\_\_\_\_\_ Verified Translation of the published International Application;

- Information Disclosure Statement (Form - 1449) and copies of references;
- XXX** A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;
- Preliminary Amendment; and
- Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$750/\$790//\$950/ \$1,110/\$100
Total Claims	15 - 20 =	0	x \$18.00	\$PAID
Independent Claims	4 - 3 =	1	x \$88.00	\$PAID
Multiple Dependent Claim Fee			x \$300.00	= - 0 -
<b>TOTAL FILING FEE</b>				<b>\$PAID</b>
1/2 FILING FEE FOR SMALL ENTITY				N/A

**XXX** A check in the amount of \$ 170.00 to cover the Surcharge (\$130) and Assignment Recordal Fee (\$40) is enclosed.

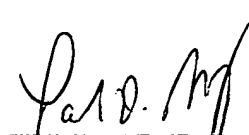
**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Date: November 19, 2004

11/24/2004 SNAJARRO 00000068 10500201

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130.00 DP



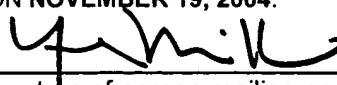
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**CERTIFICATE OF EXPRESS MAILING**

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" CERTIFICATE NO. **EV471658057US**, SERVICE UNDER 37 CFR §1.10 AND IS ADDRESSED TO: MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ATTENTION: DO/EO/US ON **NOVEMBER 19, 2004**.

Luanne Kwon

(Typed name of person mailing paper)



(Signature of person mailing paper)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/500,201	Josef Beller	20 01 0496

INTERNATIONAL APPLICATION NO.

PCT/EP02/00357

I.A. FILING DATE

PRIORITY DATE

01/16/2002

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP  
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**RECEIVED**

SEP 30 2004

OHLANDT, GREELEY,  
 RUGGIERO & PERLE, LLP

Date Mailed: 09/27/2004

CONFIRMATION NO. 9182

371 FORMALITIES LETTER



\*OC000000013904173\*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/28/2004
- Copy of the International Search Report filed on 06/28/2004
- Information Disclosure Statements filed on 06/28/2004
- U.S. Basic National Fees filed on 06/28/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/500,201	PCT/EP02/00357	20 01 0496

FORM PCT/DO/EO/905 (371 Formalities Notice)